

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

|                        |               |   |
|------------------------|---------------|---|
| Attorney Docket No.    |               | PHB 34,266C   |
| First Inventor         |               | TIMOTHY J. MOULSLEY                                   |
| Title                  |               | CODING DEVICE AND COMMUNICATION SYSTEM USING THE SAME |
| Express Mail Label No. | EV312013849US | Date November 5, 2003                                 |

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

2.  Applicant claims small entity status.  
See 37 CFR 1.27.

3.  Specification [Total Pages 14]  
(preferred arrangement set forth below)
 

- Descriptive title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings ( if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4.  Drawing(s) (35 U.S.C.113) [Total Sheets 3 ]

5. Oath or Declaration [Total Pages 1]
 

- a.  Newly executed (original or copy)
- b.  Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)
- i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6.  Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Alexandria, VA 22313-1450

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 

- a.  Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
  - i.  CD-ROM or CD-R (2 copies); or
  - ii.  paper
- c.  Statements verifying identity of above copies

 22581 U.S.PTO  
10/701540

110503

**ACCOMPANYING APPLICATIONS PARTS**

9.  Assignment Papers (cover sheet & document(s))

10.  37 C.F.R. §3.73(b) Statement  Power of (when there is an assignee) Attorney

11.  English Translation Document (if applicable)

12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations

13.  Preliminary Amendment

14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)

16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

17.  Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No: 09 /348,958

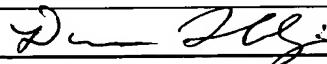
Prior application information: Examiner Matthew C. Dooley Group / Art Unit: 2133

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

|  |       |   |
|--|-------|---|
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label          | 24737 | <input type="checkbox"/> Correspondence address below |
| PATENT TRADEMARK OFFICE<br>(Insert Customer No. or Attach bar code label here) |       |   |

|         |  |           |               |          |                   |
|---------|--|-----------|---------------|----------|-------------------|
| Name    | Dicran Halajian<br>Philips Intellectual Property & Standards |           |               |          |                   |
| Address | 345 Scarborough Road<br>PO BOX 3001                          |           |               |          |                   |
| City    | Briarcliff Manor   | State     | NY            | Zip Code | 10510-8001        |
| Country | USA  | Telephone | (914)945-6000 |          | Fax (914)332-0615 |

|                   |   |                                   |                       |
|-------------------|---|-----------------------------------|-----------------------|
| Name (Print/Type) | Dicran Halajian   | Registration No. (Attorney/Agent) | 39,703                |
| Signature         |  |                                   | Date November 5, 2003 |

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 770)

| Complete if Known    |                     |
|----------------------|---------------------|
| Application Number   |                     |
| Filing Date          | CONCURRENTLY        |
| First Named Inventor | TIMOTHY J. MOULSLEY |
| Examiner Name        |                     |
| Group / Art Unit     |                     |
| Attorney Docket No.  | PHB 34,266C         |

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

|   |                  |
|---|------------------|
| Deposit Account Number  | 14-1270          |
| Deposit Account Name  | PHILIPS RESEARCH |
| <input checked="" type="checkbox"/> Charge Any Additional Fee Required<br>Under 37 CFR 1.16 and 1.17<br><input type="checkbox"/> Applicant claims small entity status.<br>See 37 CFR 1.27 |                  |
| <input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other   |                  |

## FEE CALCULATION (continued)

| 3. ADDITIONAL FEES |                       |                       |                 |  |
|--------------------|-----------------------|-----------------------|-----------------|--|
| Fee Code           | Large Entity Fee (\$) | Small Entity Fee Code | Fee Description | Fee Paid   |
| 105                | 130                   | 205                   | 65              | Surcharge - late filing fee or oath  |
| 127                | 50                    | 227                   | 25              | Surcharge - late provisional filing fee or cover sheet.                    |
| 139                | 130                   | 139                   | 130             | Non-English specification  |
| 147                | 2,520                 | 147                   | 2,520           | For filing a request for reexamination                                     |
| 112                | 920*                  | 112                   | 920*            | Requesting publication of SIR prior to Examiner action                     |
| 113                | 1,840*                | 113                   | 1,840*          | Requesting publication of SIR after Examiner action                        |
| 115                | 110                   | 215                   | 55              | Extension for reply within first month                                     |
| 116                | 400                   | 216                   | 200             | Extension for reply within second month                                    |
| 117                | 920                   | 217                   | 460             | Extension for reply within third month                                     |
| 118                | 1,440                 | 218                   | 720             | Extension for reply within fourth month                                    |
| 128                | 1,960                 | 228                   | 980             | Extension for reply within fifth month                                     |
| 119                | 320                   | 219                   | 160             | Notice of Appeal   |
| 120                | 320                   | 220                   | 160             | Filing a brief in support of an appeal                                     |
| 121                | 280                   | 221                   | 140             | Request for oral hearing   |
| 138                | 1,510                 | 138                   | 1,510           | Petition to institute a public use proceeding                              |
| 140                | 110                   | 240                   | 55              | Petition to revive – unavoidable   |
| 141                | 1,280                 | 241                   | 640             | Petition to revive – unintentional   |
| 142                | 1,280                 | 242                   | 640             | Utility issue fee (or reissue)   |
| 143                | 460                   | 243                   | 230             | Design issue fee   |
| 144                | 620                   | 244                   | 310             | Plant issue fee  |
| 122                | 130                   | 122                   | 130             | Petitions to the Commissioner  |
| 123                | 50                    | 123                   | 50              | Processing fee under 37 CFR 1.17 (q)                                       |
| 126                | 180                   | 126                   | 180             | Submission of Information Disclosure Stmt                                  |
| 581                | 40                    | 581                   | 40              | Recording each patent assignment per property (times number of properties) |
| 146                | 740                   | 246                   | 370             | Filing a submission after final rejection (37 CFR § 1.129(a))              |
| 149                | 740                   | 249                   | 370             | For each additional invention to be examined (37 CFR § 1.129(b))           |
| 179                | 740                   | 279                   | 370             | Request for Continued Examination (RCE)                                    |
| 169                | 900                   | 169                   | 900             | Request for expedited examination or a design application                  |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

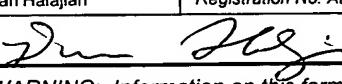
SUBTOTAL (3)

(\$ 770)

\*\*or number previously paid, if greater; For Reissues, see above

## SUBMITTED BY

Complete (if applicable)

|                   |   |                                  |        |           |                  |
|-------------------|---|----------------------------------|--------|-----------|------------------|
| Name (Print/Type) | Dicran Halajian   | Registration No. Attorney/Agent) | 39,703 | Telephone | (914) 333-9607   |
| Signature         |  |                                  |        | Date      | November 5, 2003 |

WARNING: Information on this form may become public. Credit card information should not be

included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Alexandria, VA 22313-1450.